

Training Programme for

Directors of AMCs



Joint initiative of NISM and Excellence Enablers

TRAINING PROGRAMME FOR DIRECTORS OF AMCs

Need for the Programme

Since mutual funds constitute the entry level vehicle for many retail investors in the securities market, regulatory attention on the mutual fund industry has increased in the recent past. Presently, the products on offer are the subject matter of regulatory focus. The regulatory gaze is also firmly fixed on Asset Management companies (AMCs). In order to determine whether their respective roles are being played out in the interest of the investors. Even though most of the AMCs and the Trustee companies are presently not listed, it would be advisable to put in place stricter Corporate Governance norms that are applicable to listed entities.

About the Programme

Given the parallel between the AMCs and management on the one hand, and the Trustees and the Board of Directors on the other, it is proposed that the orientation session should dwell on a clear understanding of the role and responsibility of AMCs. Keeping in mind the need for best practices, we will draw heavily on our takeaways from the corporate sector. At the same time, the orientation programme will focus equally on the duties and functions of AMCs as laid down by SEBI through its regulations.

Pedagogy

The programme is expected to be a free-flowing conversation between the participants and the faculty on understanding, and collectively addressing, real life issues, rather than theoretical problems.

Content of the Programme

1. Corporate Governance and Culture
 - Evolution of Corporate Governance
 - Behavioural and cultural dimensions of Indian Boards
2. Conflict of interest and asymmetry of information
3. Compliance, Governance and Performance
4. Composition of Boards
5. Role, responsibility and duty of the AMC, the Committees, and the Directors - Law, practice and behaviour
6. Trustee - AMC interface
7. Liabilities and Safeguards
 - Class action suit
 - D&O Policy
8. Companies specific Aspects
 - Cyber security/ fraud- process and safeguards
9. Experience sharing and good practices



SPEAKERS

Mr. M. Damodaran

Founder & Chairperson, Excellence Enablers & Former Chairman – SEBI, IDBI and UTI

Mr. Manoj Kumar

Executive Director, SEBI

Mr. Shantanu Srivastava

Chief Risk Officer, SBI Cards

Venue

MCA Club, BKC, Mumbai

Date and Time

December 05, 2024 | 10:00am – 03:00pm

Programme Fee

The fee for the programme will be ₹ 15,000/- (Rupees Fifteen Thousand Only) per participant plus GST @ 18%

Programme Director

Mr. N U Raju

DGM SEBI, PMD-1, NISM

Mob No: +91-9833176295

Email: nu.raju@nism.ac.in

Programme Coordinator

Mr. S Devanathan

Deputy Manager, Centre for Capacity Building-5, NISM

Mob No: +91-8454028810

Email: devanathan@nism.ac.in

For registration and payment of fees, click on below link:

[Click Here](#)



Scan for more
information



About NISM and Centre for Capacity Building-5

The National Institute of Securities Markets (NISM) is a capacity building initiative of the Securities and Exchange Board of India (SEBI). A comprehensive understanding of activities of NISM can be formed by visiting www.nism.ac.in. NISM carries out a wide range of capacity building activities at various levels aimed at enhancing the quality standards of and increasing the participation in the securities markets.

Centre for Capacity Building-5, one of the divisions of excellence established by NISM, works with the objective of strengthening the initiatives to secure high standards of corporate governance by demonstration and exhortation besides documentation, research and dissemination of principles and practices of corporate governance.

Excellence Enablers Private Limited

Excellence Enablers Private Limited (EEPL) is an initiative that focuses on implementation of better corporate governance practices, improvement of Board performance, including audit and evaluation, training of directors and engagement with stakeholders of governance. It is founded on the firm belief that the gap between performance and potential can, and must, be bridged. Consistent with that belief, all the offerings of EEPL are tailor-made to the specific needs of the organisation or the individuals concerned. Given that the founder of EEPL, Mr. M. Damodaran, gave effect to Clause 49 of the Listing Agreement, dealing with corporate governance in India, and has been a part of both public sector and private sector Boards, as well as performing and underperforming Boards, EEPL offers experience based consultancy and courses on the journey from compliance through governance to performance. Further, given his success in turning around organisations that had been written off, EEPL is uniquely positioned to offer courses on leadership, organisational transformation, and building winning teams.



National Institute of Securities Markets

NISM Registered Office

5th Floor, NCL Cooperative Society, Plot No. C-6, E-Block, BKC, Bandra East, Mumbai, 400051

NISM Campus

Plot No. IS-1 & 2, Patalganga Industrial Area, Mohopada, Tal Khalapur, Dist Raigad, Near Navi Mumbai, Maharashtra-410222

NISM Bhavan

Plot No. 82, Sector-17, Vashi, Navi Mumbai, Maharashtra-400703



www.nism.ac.in